Docket No.

195805US77

IN THE UNITED

T AND TRADEMARK OFFICE

IN RE APPLICATION OF:

TRADEM Mansukh C. WANI, et al.

SERIAL NO:

10/606,795

GAU:

1625

FILED:

June 27, 2003

EXAMINER: DESAI, RITA J.

FOR:

7-SUBSTITUTED CAMPTOTHECIN AND CAMPTOTHECIN ANALOGS AND METHODS FOR PRODUCING

THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

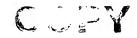
Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

J. Derek Mason, Ph.D. Registration No. 35,270

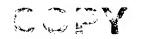
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| Form PTO 1449 U.S. DEPARTMENT OF COMMERC (Modified) PATENT AND TRADEMARK OFFICE | | | | ATTY DOCKET NO. | | SERIAL NO. 10/606,795 | | | |
| | | | | APPLICANT | | | | | |
| LIST OF REFERENCES CITED BY APPLICANT Mansukh C. WANI, et al. | | | | | | | | | |
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| U.S. PATENT DOCUMENTS | | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB FILING DATE CLASS IF APPROPRIATE | | | |
| | AA | RE 32,518 | 10/13/87 | Miyasaka, et al. | | | L | | |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | | | |
| PCT International Search Report, PCT/US04/20388 | | | | | | | | | |
| | AW | | | | | | | | |
| | AX | PCT Written Opinion of the International Searching Authority, PCT//US04/20388 | | | | | | | |
| | AY | G. Manikumar, et al., "Camptothecin analogs with bulky, hydrophobic substituents at the 7-osition via a Grignard reaction", Biorganic & Medicinal Chemistry Letters 14 (2004) 5377-5381 | | | | | | | |
| | AZ | CAS Search, Re: Bioorganic & Medicinal Chemistry Letters, 14 (21) 5377-5381; 2004 | | | | Additional References sheet(s) attached | | | |
| Examiner | | | | | Date Considered | | | | |
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| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | |





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Serial No. 10/606,795

In the matter of the Application of: Mansukh C. WANI, et al.

For: 7-SUBSTITUTED CAMPTOTHECIN AND CAMPTOTHECIN ANALOGS

AND METHODS FOR PRODUCING THE SAME

Due Date: March 28, 2005

By: JDM/saf

The following has been received in the U.S. Patent Office on the date stamped hereon:

■ Credit Card Form for \$180.00

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■ Information Disclosure Statement

■ PTO-1449

■ Cited References <u>5</u> (Including International Search Report and Written Opinion)

